



MS ISSUE FEE
PATENT
2815-0237P

TRW

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant: Alex Haaht GOULIAEV et al. Art Unit: 1624
Application No.: 10/642,224 Examiner: Kahsay Habte
Filed: August 18, 2003 Conf.: 2206
For: NOVEL COMPOUNDS AND THEIR USE AS POSITIVE AMPA RECEPTOR MODULATORS

LETTER REQUESTING INITIALED PTO 1449 FORM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

APR 20 2007

Sir:

In reviewing the above-captioned application file upon allowance, the undersigned has noted that even though acknowledgement was received for the PTO 1449 form filed on August 15, 2003, the Examiner did not initial one of the references. No reason was given as to why the reference did not get initialed. A copy of the acknowledged form is attached.

The Patent and Trademark Office therefore is requested to return the initialed form to the undersigned as soon as possible. A clean copy of the 1449 form is attached.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. §1.16 or under 37 C.F.R. §1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By


GERALD M. MURPHY, JR., #28,977

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GMM/RG/djm



Sheet 1 of 3

Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

ATTY DOCKET NO.
2815-237P

APPLICATION
NO.

New - Rule
53 (b) Div.

APPLICANT
Alex H. GOULIAEV et al.

FILING DATE
August 18, 2003

GROUP
1624
Unassigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
KH	5	4	8	8	0	4	9	01/30/1996	Costa et al.			
	4	1	8	4	0	3	9	01/15/1980	Soldati et al.			
	3	6	3	9	3	4	2	02/01/1972	Miyadera et al.			
	5	5	3	6	7	1	9	07/16/1996	Cordi et al.			
	3	2	7	5	6	2	5	09/27/1966	Müller et al.			
	3	4	1	9	5	5	2	12/31/1968	Whitehead et al.			
	3	3	1	1	6	2	0	03/28/1967	Bell et al.			
	3	2	7	7	0	8	6	10/04/1966	Wei et al.			
	3	3	5	1	5	9	5	11/07/1967	de Stevens et al.			
✓	6	0	1	5	8	0	0	01/18/2000	Nikam			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
												YES	NO
KH	WO	97	49	6	9	2	A1	12/31/1997	PCT				
	DE	14	70	3	1	6	B	04/24/1969	Germany				
	JP	60	25	9	8	4	A	02/08/1985	Japan (Abstract Only)				
	WO	97	26	8	8	4	A1	07/31/1997	PCT				
	WO	95	15	7	5	9	A1	06/15/1995	PCT				
	EP	06	92	4	8	4	A1	01/17/1996	EPO (w/Abstract)				
	WO	93	21	1	7	0	A1	10/28/1993	PCT				
	GB	8	6	1	3	6	7	02/22/1961	Great Britain				
✓	GB	9	4	6	8	6	4	01/15/1964	Great Britain				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Topliss et al., *Journal of Medicinal Chemistry*, Vol. 15, No. 4, pp.400-403 (1972)

EXAMINER	DATE CONSIDERED
<i>A. H. Nikam</i>	11/3/2006

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Sheet 1 of 3

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